

# Ribbonness of Kervaire's sphere-link in homotopy 4-sphere and its consequences to 2-complexes

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## ABSTRACT

M. A. Kervaire showed in 1965 that every finitely presented group with deficiency  $k$ , weight  $k$  and the free first homology of rank  $k$  is the fundamental group of a smooth sphere-link of component  $k$  in a smooth homotopy 4-sphere. Use the smooth unknotting conjecture and the smooth 4D Poincaré conjecture. Any such 2-link is shown to be equivalent to a sublink of a free ribbon sphere-link in the 4-sphere, whose ribbon disk-link complement in the 4-disk is also shown to have a finite aspherical 2-complex as a spine. Every ribbon sphere-link in the 4-sphere is also shown to be a sublink of a free ribbon sphere-link in the 4-sphere, so that the complement of every ribbon disk-link in the 4-disk has a finite aspherical 2-complex as a spine. This implies that every subcomplex of a finite contractible 2-complex is aspherical (partially yes for J. H. C. Whitehead's conjecture).

*Keywords: Kervaire's sphere-link, ribbon sphere-link, 2-complex, J. H. C. Whitehead's conjecture*

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## 1. Introduction

A finitely presented group  $G$  has *deficiency*  $k$  if  $G$  has a finite presentation

$$\langle x_1, x_2, \dots, x_n \mid r_1, r_2, \dots, r_s \rangle$$

with  $k = n - s$ , and has *weight*  $k$  if there are  $k$  elements  $y_1, y_2, \dots, y_k$  in  $G$  whose normal closure is equal to  $G$ . The elements  $y_1, y_2, \dots, y_k$  are called a  *$k$ -weight system* of  $G$ .

A smooth homotopy 4-sphere is a smooth 4-manifold  $M$  homotopy equivalent to the 4-sphere  $S^4$ . A *smooth surface-link* in  $M$  is the image  $K$  of a smooth embedding from a closed oriented surface  $F$  into  $M$ . If  $K$  consists of only 2-spheres, then  $K$  is called an  $S^2$ -link in  $M$ . A *legged  $k$ -loop system with base point  $v$*  is a graph  $\vee m$  consisting of a loop system  $m = \{m_1, m_2, \dots, m_k\}$  and a path system  $\omega = \{\omega_1, \omega_2, \dots, \omega_k\}$  such that the leg  $\omega_i$  connects the base point  $v$  and a point  $p_i \in m_i$  for every  $i$ . A *meridian system* of an  $S^2$ -link  $K$  with  $k$  components in a smooth homotopy 4-sphere  $M$  is a legged  $k$ -loop system  $\vee m$  with base point  $v$  which is embedded in  $M \setminus L$  and whose loop system  $m$  consists of a meridian loop of every component of  $K$ . M. A. Kervaire showed the following theorem in [11].

**Kervaire's Theorem.** If a finitely presented group  $G$  has deficiency  $k$ , weight  $k$  and the first homology  $H_1(G) = G/[G, G] \cong \mathbf{Z}^k$  (the rank  $k$  free abelian group), then there is an  $S^2$ -link  $K$  with  $k$  components in a smooth homotopy 4-sphere  $M$  such that there is an isomorphism  $G \cong \pi_1(M \setminus K, v)$  sending the  $k$ -weight system to a meridian system of  $K$ .

Kervaire's construction of an  $S^2$ -link to obtain this theorem is explained as follows:

*Kervaire's construction of an  $S^2$ -link.* For a presentation  $\langle x_1, x_2, \dots, x_n \mid r_1, r_2, \dots, r_{n-k} \rangle$  and a weight system  $y_1, y_2, \dots, y_k$  of the group  $G$ , Let  $P(n; n-k, k)$  be the *triple system* of the free group  $\langle x_1, x_2, \dots, x_n \rangle$ , the relator system  $r_1, r_2, \dots, r_{n-k}$  written as words in  $x_1, x_2, \dots, x_n$  and a weight system  $y_1, y_2, \dots, y_k$  written as words in  $x_1, x_2, \dots, x_n$ . Identify the free group  $\langle x_1, x_2, \dots, x_n \rangle$  with the fundamental group  $\pi_1(Y, v)$  of the 4D closed handlebody  $Y = S^4 \natural_{i=1}^n S^1 \times S_i^3$  of genus  $n$  by taking  $x_i$  to be a homotopy class of the standard loop  $S^1 \times \mathbf{1}_i$  of the product summand  $S^1 \times S_i^3$  for all  $i$ . Let  $X$  be the 4-manifold obtained from  $Y$  by surgery along tubular neighborhoods  $\ell(r_1) \times D^3, \ell(r_2) \times D^3, \dots, \ell(r_{n-k}) \times D^3$  of simple loops  $\ell(r_1), \ell(r_2), \dots, \ell(r_{n-k})$  in  $Y$  representing the words  $r_1, r_2, \dots, r_{n-k}$  in  $\pi_1(Y, v)$ . The fundamental group  $\pi_1(X, v)$  is identified with the group  $G$  with the presentation  $\langle x_1, x_2, \dots, x_n \mid r_1, r_2, \dots, r_{n-k} \rangle$  by van Kampen theorem. Let  $m\omega$  be a legged  $k$ -loop system with base point  $v$  embedded in  $X$  which represents the weight system  $y_1, y_2, \dots, y_k$  of  $\pi_1(X, v)$ . Let  $M$  be the 4-manifold obtained by surgery along tubular neighborhoods  $m_i \times D^3$  ( $i = 1, 2, \dots, k$ ) of the loop system  $m_i$  ( $i = 1, 2, \dots, k$ ) in  $X$ . The manifold  $M$  is a smooth homotopy 4-sphere and for the  $S^2$ -link  $K$  of components  $K_i = p_i \times \partial D^3$  ( $i = 1, 2, \dots, k$ ) in  $M$ , the fundamental group  $\pi_1(M \setminus K, v)$  is isomorphic to the group  $G$ , where the legged  $k$ -loop system  $\vee m$  is a meridian system of  $K$  in  $M$ . This completes Kervaire's construction of a desired  $S^2$ -link.

In this construction, note that the  $S^2$ -link  $K$  obtained is uniquely determined by the triple system  $P(n; n-k, k)$  of the free group  $\langle x_1, x_2, \dots, x_n \rangle$ , the relator system  $r_1, r_2, \dots, r_{n-k}$  and a weight system  $y_1, y_2, \dots, y_k$ . This  $S^2$ -link  $K$  is called *Kervaire's sphere-link* of  $P(n; n-k, k)$ -type or simply an  $S^2$ -link of  $P(n; n-k, k)$ -type.

A smooth surface-link  $L$  in  $S^4$  is a *trivial* surface-link if the components of  $L$  bound disjoint handlebodies smoothly embedded in  $S^4$ . The fundamental group  $\pi_1(S^4 \setminus L, v)$  is a *meridian-based free group* if  $\pi_1(S^4 \setminus L, v)$  is a free group with a basis represented by a meridian system of  $L$  with base point  $v$ .

The purpose of this paper is to research Kervaire's theorem carefully by using

Smooth Unknotting Conjecture for an  $S^2$ -link and Smooth 4D Poincaré Conjecture. These conjectures are stated as follows:

**Smooth Unknotting Conjecture.** Every smooth surface-link  $F$  in  $S^4$  with a meridian-based free fundamental group  $\pi_1(S^4 \setminus F, v)$  is a trivial surface-link.

**Smooth 4D Poincaré Conjecture.** Every 4D smooth homotopy 4-sphere  $M$  is diffeomorphic to  $S^4$ .

The positive proofs of the smooth unknotting conjecture and the smooth 4D Poincaré conjecture are claimed in [4, 5, 6] and [7], respectively. *From now on, every smooth homotopy 4-sphere  $M$  is identified with the 4-sphere  $S^4$ .*

An  $S^2$ -link  $L$  in  $S^4$  is a *ribbon  $S^2$ -link* if  $L$  is equivalent to an  $S^2$ -link obtained from a trivial  $S^2$ -link  $O$  in  $S^4$  by surgery along embedded 1-handles on  $O$  (see [10, 13] for earlier concept of ribbon surface-link).

An  $S^2$ -link  $K$  in  $S^4$  is a *subfree ribbon  $S^2$ -link of rank  $n$*  if  $K$  is a sublink of a ribbon  $S^2$ -link  $L$  in  $S^4$  such that the fundamental group  $\pi_1(S^4 \setminus L, v)$  is a free group (not necessarily meridian based) of rank  $n$ . Then the ribbon  $S^2$ -link  $L$  has  $n$  components. By definition, a subfree ribbon  $S^2$ -link of any rank  $n$  is a ribbon  $S^2$ -link.

A main point of this paper is the following theorem.

**Theorem 1.1.** The following three statements on a  $k$ -component  $S^2$ -link  $K$  in the 4-sphere  $S^4$  are mutually equivalent:

- (1) The  $S^2$ -link  $K$  is an  $S^2$ -link of  $P(n; n - k, k)$ -type for every  $n$  greater than a constant.
- (2) The  $S^2$ -link  $K$  is a  $k$ -component subfree ribbon  $S^2$ -link of rank  $n$  for every  $n$  greater than a constant.
- (3) The  $S^2$ -link  $K$  is a  $k$ -component ribbon  $S^2$ -link obtained from the  $n$ -component trivial  $S^2$ -link by surgery along embedded 1-handles for every  $n$  greater than a constant.

This theorem means that there is a positive integer  $n$  such that the  $S^2$ -knot  $K$  has the properties (1), (2) and (3) for this  $n$  at the same time. The proof of Theorem 1.1 is given in Section 2. By combining Kervaire's Theorem with Theorems 1.1, the following characterization of the fundamental group  $\pi_1(S^4 \setminus K, v)$  of a ribbon  $S^2$ -link  $K$  in  $S^4$  is obtained.

**Corollary 1.2.** A group  $G$  has a finite presentation with deficiency  $k$  and weight  $k$  and the first homology  $H_1(G) \cong \mathbf{Z}^k$  if and only if there is an isomorphism  $G \rightarrow \pi_1(S^4 \setminus K, v)$  sending the weight system to a meridian system for a ribbon  $S^2$ -link  $K$  of  $k$  components.

It is a standard fact that every ribbon  $S^2$ -link  $L$  in  $S^4$  is regarded as the double of a ribbon disk-link  $L^D$  in the 4-disk  $D^4$  (see [10, II]). The *compact complement of a ribbon disk-link  $L^D$  in the 4-disk  $D^4$*  is the compact 4-manifold  $E(L^D) = \text{cl}(D^4 \setminus N(L^D))$  for a regular neighborhood  $N(L^D)$  of  $L^D$  in  $D^4$ . The following theorem can be shown by considering a ribbon disk-link  $K^D$  as the ribbon disk-link  $K^D$  of a subfree ribbon  $S^2$ -link  $K$  of some rank  $n$  by using Theorem 1.1.

**Theorem 1.3.** The compact complement  $E(L^D)$  of every ribbon disk-link  $L^D$  in the 4-disk  $D^4$  is homotopy equivalent to an aspherical 2-complex.

The result of the case of a ribbon disk-knot in  $D^4$  has been conjectured by Howie [2] after having found some gaps on the arguments of Yanagawa [14] and Asano, Marumoto, Yanagawa [1]. The proof of Theorem 1.3 is done in Section 3. For the proof two claims are provided. One claim is that the second homotopy group

$$\pi_2(D^4 \setminus L^D, v) = 0$$

for the ribbon disk-link  $K^D$  in the 4-disk  $D^4$  of a subfree ribbon  $S^2$ -link  $K$  of any rank  $n$  in  $S^4$  (see Lemma 3.1 for the proof). The other claim is that the compact complement  $E(L^D)$  of a ribbon disk-link  $L^D$  in  $D^4$  is homotopy equivalent to a 2-complex (see Lemma 3.2 for the proof). For the ribbon disk-link  $L^D$  in  $D^4$  of every ribbon  $S^2$ -link  $L$  in  $S^4$ , the inclusion homomorphism

$$\pi_1(D^4 \setminus L^D, v) \rightarrow \pi_1(S^4 \setminus L, v)$$

is shown to be an isomorphism (see Lemma 3.3 for the proof). Since the fundamental group of a finite-dimensional aspherical complex is torsion-free, the following corollary is obtained.

**Corollary 1.4.** The fundamental group  $\pi_1(S^4 \setminus L, v)$  of every ribbon  $S^2$ -link in the 4-sphere  $S^4$  is torsion-free.

This result answers positively an old question in [10, II(pp.57-58)]. Theorem 1.3 is closely related to J. H. C. Whitehead Conjecture [12] stated as follows (cf. [2]).

**J. H. C. Whitehead Conjecture.** Every connected subcomplex of an aspherical 2-complex is aspherical.

The following result is obtained from Theorem 1.3.

**Corollary 1.5.** Every connected subcomplex of a contractible finite 2-complex is aspherical.

The proof of Corollary 1.5 is given in Section 3. For general references on this paper, see [3].

## 2. Proof of Theorem 1.1

Let  $X$  be a closed connected oriented smooth 4-manifold, and  $k$  a loop system of disjoint simple loops  $k_i$  ( $i = 1, 2, \dots, n$ ) in  $X$ . A *surgery along the loop system  $k$*  is a replacement operation of a normal 3-disk bundle system  $k_i \times D^3$  ( $i = 1, 2, \dots, n$ ) of  $k_i$  ( $i = 1, 2, \dots, n$ ) in  $X$  by a 2-disk bundle system  $D_i^2 \times S^2$  ( $i = 1, 2, \dots, n$ ) of the 2-sphere system  $K_i = 0_i \times S^2$  ( $i = 1, 2, \dots, n$ ) under the identification that  $\partial D_i^2 = k_i$  ( $i = 1, 2, \dots, n$ ) and  $\partial D^3 = S^2$ . The sphere system  $K_i$  ( $i = 1, 2, \dots, n$ ) form an  $S^2$ -link  $K$  in the smooth 4-manifold  $X'$  resulting from  $X$  by this surgery. The 4-manifold  $X'$  is said to be obtained from the 4-manifold  $X$  by surgery along a loop system  $k$  in  $X$ , and conversely the 4-manifold  $X$  is said to be obtained from the 4-manifold  $X'$  by

surgery along a sphere system  $K$  in  $X'$ . Note that there are canonical fundamental group isomorphisms

$$\pi_1(X, v) \cong \pi_1(X \setminus k, v) \cong \pi_1(X' \setminus K, v)$$

by general position (see [9, Lemma 3.1]). The proof of Theorem 1.1 is done as follows.

**Proof of Theorem 1.1.**

*Proof of (1)→(2).* The  $S^2$ -link  $K$  of  $P(n; n - k, k)$ -type in  $S^4$  for any  $n$  is constructed from the triple system consisting of the free basis  $x_i$  ( $i = 1, 2, \dots, n$ ), the relator system  $r_i$  ( $i = 1, 2, \dots, n - k$ ) written as words in  $x_i$  ( $i = 1, 2, \dots, n$ ) and a weight system  $y_j$  ( $j = 1, 2, \dots, k$ ) written as words in  $x_i$  ( $i = 1, 2, \dots, n$ ). Identify the free group  $\langle x_1, x_2, \dots, x_n \rangle$  with the fundamental group  $\pi_1(Y, v)$  of the closed handlebody  $Y = S^4 \times_{i=1}^n S^1 \times S_i^3$  of rank  $n$ . Note that the elements  $r_i, y_j$  ( $i = 1, 2, \dots, n - k; j = 1, 2, \dots, k$ ) normally generate the free group  $\pi_1(Y, v)$ . Represent the elements  $r_i, y_j \in \pi_1(Y, v)$  ( $i = 1, 2, \dots, n - k; j = 1, 2, \dots, k$ ) by a disjoint simple loop system  $k(r_i), k(y_j)$  ( $i = 1, 2, \dots, n - k; j = 1, 2, \dots, k$ ) in  $Y$ . The 4-manifold  $M$  obtained from  $Y$  by surgery along the loop system  $k(r_i), k(y_j)$  ( $i = 1, 2, \dots, n - k; j = 1, 2, \dots, k$ ) is a smooth homotopy 4-sphere identified with  $S^4$ . Let  $L$  be the  $S^2$ -link in  $M = S^4$  of the sphere system  $K(r_i), K(y_j)$  ( $i = 1, 2, \dots, n - k; j = 1, 2, \dots, k$ ) occurring from the loop system  $k(r_i), k(y_j)$  ( $i = 1, 2, \dots, n - k; j = 1, 2, \dots, k$ ) by surgery. The fundamental group  $\pi_1(S^4 \setminus L, v)$  is a free group (although it is not always meridian-based free). By [9, Lemma 3.4], it is shown that the  $S^2$ -link  $L$  is a free ribbon  $S^2$ -link in  $S^4$ . The sublink  $K$  of  $L$  consisting of  $K(y_j)$  ( $j = 1, 2, \dots, k$ ) is a subfree ribbon  $S^2$ -link in  $S^4$  of rank  $n$ . By adding trivial  $S^2$ -components to  $L$ , the positive integer  $n$  is replaced by any positive integer greater than a constant. This shows (1)→(2).

*Proof of (2)→(1).* Let  $K$  be a  $k$ -component sublink of an  $n$ -component  $S^2$ -link  $L$  in  $S^4$  such that the fundamental group  $\pi_1(S^4 \setminus L, v)$  is a free group of rank  $n$ . Let  $Y$  be the 4-manifold obtained from  $S^4$  by surgery along  $L$ . Then  $Y$  is diffeomorphic to the 4D closed handlebody  $S^4 \times_{i=1}^n S^1 \times S_i^3$  of genus  $n$ , which is shown in [9, Lemma 3.2] by using Smooth Unknotting Conjecture for an  $S^2$ -link and Smooth 4D Poincaré Conjecture. Since the fundamental group  $\pi_1(S^4 \setminus L, v)$  is identified with the fundamental group  $\pi_1(Y, v)$ , the  $S^2$ -link  $K$  is an  $S^2$ -link of  $P(n; n - k, k)$ -type consisting of the free group  $\pi_1(Y, v) = \langle x_1, x_2, \dots, x_n \rangle$ , a relator system  $r_1, r_2, \dots, r_{n-k}$ , coming from the meridians of  $L \setminus K$ , and a weight system  $y_1, y_2, \dots, y_k$  coming from the meridians of  $K$ . By adding trivial  $S^2$ -components to  $L$ , the positive integer  $n$  is replaced by any positive integer greater than a constant. This shows (2)→(1).

*Proof of (2)→(3).* This proof is trivial since a sublink of a ribbon  $S^2$ -link is a ribbon  $S^2$ -link and any ribbon  $S^2$ -link is obtained from the  $n$ -component trivial  $S^2$ -link by surgery along 1-handles for any positive integer  $n$  greater than a constant.

*Proof of (3)→(2).* The  $k$ -component ribbon  $S^2$ -link  $K$  is obtained from an  $n$ -component trivial  $S^2$ -link  $O$  in  $S^4$  by surgery along a 1-handle system  $h$  on  $O$ . Let  $O \times I$  be a collar of  $O$  in  $S^4$ , and  $W = O \times I \cup h$  a  $k$ -component compact 3-manifold bounded by  $K \cup (-O)$ . Let  $K_i$  ( $i = 1, 2, \dots, k$ ) be the components of  $K$ . Let  $O'$  be a  $(n - k)$ -component sublink of  $O$  obtained by removing any one component of  $O$  used

for the surgery to obtain the component  $K_i$  for every  $i$ . Then there are isomorphisms

$$\pi_1((S^4 \setminus W, v) \rightarrow \pi_1(S^4 \setminus K \cup O'), v) \quad \text{and} \quad \pi_1(S^4 \setminus W, v) \rightarrow \pi_1(S^4 \setminus O, v).$$

In fact, the first isomorphism is established by using that every point in the interior of  $W$  can be pushed out of  $W$  through the  $S^2$ -system  $\partial W \setminus O'$  without touching the  $S^2$ -link  $K \cup O'$  and that every intersection loop between  $W$  and an immersed disk whose boundary loop is in the complement  $S^4 \setminus K \cup O'$  can be shortened into a point in the interior of  $W$  because  $W$  is simply connected. The second isomorphism is established by using that there is a strong deformation retract from the 4-manifold  $W$  into the union of  $O$  and some panning arcs because the presence of the arcs does not affect the fundamental group isomorphism. This means that the  $n$ -component  $S^2$ -link  $K \cup O'$  is a free ribbon  $S^2$ -link of rank  $n$  and thus,  $K$  is a subfree  $S^2$ -link of rank  $n$ . The positive integer  $n$  is replaced by any positive integer greater than a constant. This shows (3)  $\rightarrow$  (2).

This completes the proof of Theorem 1.1.  $\square$

### 3. Proof of Theorem 1.3

A *trivial proper disk system* in the 4-disk  $D^4$  is a proper disk system  $d_i$  ( $i = 1, 2, \dots, n$ ) in  $D^4$  obtained by an interior push of a disk system  $d_i^0$  ( $i = 1, 2, \dots, n$ ) in the 3-sphere  $S^3 = \partial D^4$ . A  $k$ -component ribbon disk-link in  $D^4$  is a proper disk system  $\cup_{i=1}^n d_i \cup_{j=1}^{n-k} b_j$  in  $D^4$  obtained by an interior push of a disk union  $\cup_{i=1}^n d_i \cup_{j=1}^{n-k} b_j^0$  in  $D^4$  which is a union of a trivial disjoint  $k$  disk system  $d_i$  ( $i = 1, 2, \dots, n$ ) in  $D^4$  and a disjoint band system  $b_j^0$  ( $j = 1, 2, \dots, n - k$ ) in  $S^3$  spanning the trivial loop system  $\partial d_i = \partial d_i^0$  ( $i = 1, 2, \dots, n$ ). By construction, a  $k$ -component ribbon  $S^2$ -link  $L$  in  $S^4$  is the double of a  $k$ -component ribbon disk-link  $L^D$  in  $D^4$  (see [10, II]). Let  $\alpha$  be the reflection of the ribbon  $S^2$ -link  $(S^4, L)$  changing one copy  $(D^4, L^D)$  and the other copy  $(-D^4, -L^D)$ . In the proof of the following lemma, Theorem 1.1 is essentially used.

**Lemma 3.1.** For the ribbon disk-link  $K^D$  in the 4-disk  $D^4$  of a subfree ribbon  $S^2$ -link  $K$  of rank  $n$  in  $S^4$ , the second homotopy group  $\pi_2(D^4 \setminus L^D, v) = 0$ .

**Proof of Lemma 3.1.** Since  $K$  is a subfree ribbon  $S^2$ -link in  $S^4$  of rank  $n$ , take an  $n$ -component ribbon  $S^2$ -link  $L$  with the fundamental group  $\pi_1(S^4 \setminus L, v)$  a free group and with  $K$  as a sublink. Let  $K^D$  be the ribbon disk-link of  $K$  in  $D^4$ , and  $L^D$  the ribbon disk-link of  $L$  in  $D^4$  containing  $K^D$  as a sublink. Let  $\tilde{S}$  be an immersed 2-sphere in the compact exterior  $E(K^D)$ , which is considered as an immersed 2-sphere in the compact exterior  $E(L^D)$  by taking the ribbon disk system  $L^D \setminus K^D$  in a thin boundary collar of  $D^4$ . Let  $Y$  be the 4-manifold obtained from  $S^4$  by surgery along  $L$ . Since  $\pi_1(Y, v) \cong \pi_1(S^4 \setminus L, v)$  is a free group of rank  $n$ , the 4-manifold  $Y$  is identified with the 4D closed handlebody  $S^4 \#_{i=1}^n S^1 \times S_i^3$  of genus  $n$  by [9, Lemma 3.2]. Hence the immersed sphere  $\tilde{S}$  in  $E(L^D)$  bounds an immersed 3-ball  $\tilde{B}$  in  $Y$ . Let  $k(L)$  be the loop system in  $Y$  occurring from the surgery along  $L$ . By general position, the loop system  $k(L)$  meets transversely the immersed 3-ball  $\tilde{B}$  in a finite set, say an  $s$  point set. Then there is a compact  $s$ -punctured immersed 3-ball  $\tilde{B}^{(s)}$  in the compact exterior  $E(L)$  of  $L$  in  $S^4$  such that  $\partial \tilde{B}^{(s)} \supset \tilde{S}$  and  $\partial \tilde{B}^{(s)} \setminus \tilde{S}$  is a 2-sphere system

$S_i$  ( $i = 1, 2, \dots, s$ ) in the boundary  $\partial E(L)$ . Note that the compact exterior  $E(L)$  is the union of the compact exterior  $E(L^D)$  and the other copy  $E(-L^D)$  changing by the reflection  $\alpha$ . By transforming the intersection part  $\tilde{B}^{(s)} \cap E(-L^D)$  into  $E(L^D)$  by the reflection  $\alpha$ , the punctured immersed 3-ball  $\tilde{B}^{(s)}$  is taken in the compact exterior  $E(L^D)$  so that the (possibly singular) 2-spheres  $S_i$  ( $i = 1, 2, \dots, s$ ) are in  $L^D \times S^1 \subset \partial E(L)$ . Since each component of  $L^D \times S^1$  is aspherical, the immersed 2-spheres  $S_i$  ( $i = 1, 2, \dots, s$ ) bounds singular 3-balls in  $L^D \times S^1$ . This means that the immersed sphere  $\tilde{S}$  is null-homotopic in  $E(L^D) \subset E(K^D)$ . Hence,  $\pi_2(E(K^D), v) = 0$ . *square*

Although the following lemma is more or less known (cf. [1]), the proof is given here for convenience.

**Lemma 3.2.** The compact complement  $E(L^D)$  of a  $k$ -component ribbon disk-link  $L^D$  in the 4-disk  $D^4$  has a handle decomposition consisting of one 0-handle and  $n$  1-handles and  $n - k$  2-handles for some  $n$ . Thus, the compact complement  $E(L^D)$  is homotopy equivalent to a 2-complex.

**Proof of Lemma 3.2.** Assume that the  $k$ -component ribbon disk-link  $L^D$  in  $D^4$  is given  $\cup_{i=1}^n d_i \cup_{j=1}^{n-k} b_j$  for a trivial proper disk system  $d_i$  ( $i = 1, 2, \dots, n$ ) and a band system  $b_j$  ( $j = 1, 2, \dots, n - k$ ) lifting the band system  $b_j^0$  ( $j = 1, 2, \dots, n - k$ ) in the 3-sphere  $S^3 = \partial D^4$ . Let  $h_j$  ( $j = 1, 2, \dots, n - k$ ) be the 1-handle system on the disk system  $d_i$  ( $i = 1, 2, \dots, n$ ) which is the trace of the lifting from the band system  $b_j^0$  ( $j = 1, 2, \dots, n - k$ ) to the band system  $b_j$  ( $j = 1, 2, \dots, n - k$ ). Then the compact exterior  $E$  of the union  $\cup_{i=1}^n d_i \cup_{j=1}^{n-k} h_j$  in  $D^4$  is diffeomorphic to the compact exterior  $E(\cup_{i=1}^n d_i)$  of the disk system  $d_i$  ( $i = 1, 2, \dots, n$ ) in  $D^4$ , which has a handle decomposition consisting of one 0-handle and  $n$  1-handles. The compact complement  $E(L^D)$  is obtained from  $E$  by adding  $n - k$  2-handles which are dual to the 1-handles  $h_j$  ( $j = 1, 2, \dots, n - k$ ) on the disk system  $d_i$  ( $i = 1, 2, \dots, n$ ). *square*

The proof of Theorem 1.3 is done as follows (although it is not hard after Lemmas 3.1, 3.2).

**Proof of Theorem 1.3.** Let  $K^D$  be a ribbon disk-link in  $D^4$  whose double is a ribbon  $S^2$ -link in  $S^4$ , which is a subfree ribbon  $S^2$ -link  $K$  by Theorem 1.1. By Lemma 3.1, the second homotopy  $\pi_2(E(K^D), v) = 0$  for the compact exterior  $E(K^D)$  of the ribbon disk-link  $K^D$  in  $D^4$ . Since the compact exterior  $E(K^D)$  is homotopy equivalent to a 2-complex by Lemma 3.2, the universal cover  $\tilde{E}(K^D)$  of  $E(K^D)$  has the trivial reduced homology  $\tilde{H}_*(\tilde{E}(K^D); Z) = 0$ . This means that  $\pi_q(E(K^D), v) = 0$  for all  $q \geq 2$ , namely the compact exterior  $E(K^D)$  is aspherical.  $\square$

Although the following lemma used for the proof of Corollary 1.4 may also be more or less known (cf. [?]), the proof is given here for convenience.

**Lemma 3.3.** For the ribbon disk-link  $L^D$  in  $D^4$  of a ribbon  $S^2$ -link  $L$  in  $S^4$ , the

inclusion  $(D^4, L^D) \rightarrow (S^4, L)$  induces an isomorphism

$$\pi_1(D^4 \setminus L^D, v) \rightarrow \pi_1(S^4 \setminus L, v).$$

**Proof of Lemma 3.3.** Use the retraction  $S^4 \setminus L^D$  obtained from the quotient by the reflection  $\alpha$ . Then the canonical homomorphism  $\pi_1(D^4 \setminus L^D, v) \rightarrow \pi_1(S^4 \setminus L, v)$  is a monomorphism. On the other hand, For the other ribbon disk-link  $(-D^4, -L^D)$  in the ribbon  $S^2$ -link  $(S^4, L)$ , the inclusion  $(\partial D^4, \partial L^D) \rightarrow (D^4, L^D)$  induces an epimorphism  $\pi_1(\partial D^4 \setminus \partial L^D) \rightarrow \pi_1(D^4 \setminus L^D)$ , so that the canonical monomorphism  $\pi_1(D^4 \setminus L^D, v) \rightarrow \pi_1(S^4 \setminus L, v)$  is also an epimorphism and thus, an isomorphism. *square*

The proof of Corollary 1.5 on J. H. C. Whitehead's Conjecture is done as follows.

**Proof of Corollary 1.5.** Assume that a contractible connected 2-complex  $P$  is obtained from a bouquet of circles  $c_1, c_2, \dots, c_n$  which is the 1-skelton  $P^{(1)}$  of  $P$  by attaching 2-cells  $e_1, e_2, \dots, e_n$  for some  $n$ . Identify the free group  $\pi_1(P^{(1)}, v)$  with  $\langle x_1, x_2, \dots, x_n \rangle$  for the basis element  $x_i = [c_i]$  ( $i = 1, 2, \dots, n$ ), and  $r_1, r_2, \dots, r_n$  the words of the attaching data of  $e_1, e_2, \dots, e_n$  to  $P^{(1)}$  forming a weight system of the free group  $\pi_1(P^{(1)}, v)$  since  $\pi_1(P, v) = 1$ . By Corollary 1.2, there is a ribbon  $S^2$ -link  $(S^4, L)$  with an isomorphism  $\pi_1(S^4 \setminus L, v) \cong \langle x_1, x_2, \dots, x_n \rangle$  sending a meridian system of  $L$  to the elements  $r_1, r_2, \dots, r_n$ . By Lemma 3.3, for the compact exterior  $E(L^D)$  of a ribbon disk-link  $(D^4, L^D)$  of the ribbon  $S^2$ -link  $(S^4, L)$ , there is an isomorphism  $\pi_1(E(L^D), v) \cong \langle x_1, x_2, \dots, x_n \rangle$  sending a meridian system of  $L^D$  to the elements  $r_1, r_2, \dots, r_n$ . By Theorem 1.3, the compact exterior  $E(L^D)$  is homotopy equivalent to  $P^{(1)}$ . Let  $P_S$  be the subcomplex of the 2-complex  $P$  by attaching any subcollection  $e_{i_1}, e_{i_2}, \dots, e_{i_s}$  of the 2-cells  $e_1, e_2, \dots, e_n$  with the attaching data  $r_{i_1}, r_{i_2}, \dots, r_{i_s}$ . Then the subcomplex  $P_S$  is homotopy equivalent to the exterior  $E(L_S^D)$  of the ribbon disk-link  $L_S^D$  of the ribbon  $S^2$ -link  $L_S$  obtained from  $L$  by forgetting the components of  $L$  with meridian elements  $r_{i_1}, r_{i_2}, \dots, r_{i_s}$ , which is aspherical by Theorem 1.3. Thus, the sublink  $P_S$  of  $P$  is aspherical. Since every connected sublink of  $P$  is such a subcomplex  $P_S$  up to additions of bouquets of circles and homotopy equivalences, it is proved that every connected subcomplex of  $P$  is aspherical.  $\square$

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